

US Case AT/K-21127/A/MA2142

DECLARATION AND POWER OF ATTORNEY FOR U.S. PATENT APPLICATIONS

☒ Original ☐ Supplemental ☐ Substitute ☐ PCT

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if more than one name is listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

REACTIVE RESIN MANUFACTURE

which is described and claimed in:

- ☒ the attached specification.
- ☐ the specification in U.S. Application No. _____
filed _____ (day/month/year), and as amended on _____ (day/month/year) (if applicable).
- ☐ the specification in International Application No. PCT/
filed _____ (day/month/year)
assigned U.S. Application No. _____ (if applicable), and as amended
- ☐ under PCT Article 19 on _____ (if applicable)
_____ (day/month/year)
- ☐ under PCT Article 34 on _____ (if applicable)
_____ (day/month/year)
- ☐ and further amended on _____ (if applicable)
_____ (day/month/year)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information which is known by me to be material to the patentability of this application as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119 (a)-(d) of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America relating to this subject matter having a filing date before that of the application on which priority is claimed:

THE UNIVERSITY OF CHICAGO

FILING DATE
(day/month/year)

FILING DATE
(day/month/year)

STATUS

<input type="checkbox"/> Patented	<input type="checkbox"/> Pending	<input type="checkbox"/> Abandoned
<input type="checkbox"/> Patented	<input type="checkbox"/> Pending	<input type="checkbox"/> Abandoned
<input type="checkbox"/> Patented	<input type="checkbox"/> Pending	<input type="checkbox"/> Abandoned
<input type="checkbox"/> Patented	<input type="checkbox"/> Pending	<input type="checkbox"/> Abandoned
<input type="checkbox"/> Patented	<input type="checkbox"/> Pending	<input type="checkbox"/> Abandoned

PCT APPLICATION
No.
(designating the U.S.)

**INTERNATIONAL
FILING DATE**
(day/month/year)

U.S. APPLICATION
No.
(if any)

STATUS

☐ Patented

☐ Pending

☐ Abandoned

THE UNIVERSITY OF CHICAGO

Address all correspondence associated with Customer No. 000324 to *Ciba Specialty Chemicals Corporation, Patent Department, 540 White Plains Road, P.O. Box 2005, Tarrytown, NY 10591-9005.*

Full name of sole or first joint inventor **Peter Drummond Boys WHITE**

Residence **The Old Rectory, Aston Ingham,
Ross-On-Wye, Herefordshire, HR9 7LS
ENGLAND**

Post Office Address same as above

**REVOCATION AND POWER
OF ATTORNEY OR
AUTHORIZATION OF AGENT**


Application Number	See attached schedule
Filing Date	See attached schedule
First Named Inventor	
Group Art Unit	
Examiner Name	
Attorney Docket Number	See attached schedule

OR

<input type="checkbox"/> Firm or Individual Name	Kristin H. Neuman, Esq.				
Address	LYON & LYON, LLP				
Address	Suite 4700				
City	633 West Fifth Street				
Country	USA	State	CA	ZIP	90071
Telephone	(914) 681-8851	Fax	(914) 686-4488		

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Certificate under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Name	Alan G. Himmelstein - on behalf of Vantico Inc.
Signature	
Date	February 22, 2001

☒ *Total of 1 forms are submitted.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

US Case AT/K-21127/A/MA2142

ASSIGNMENT

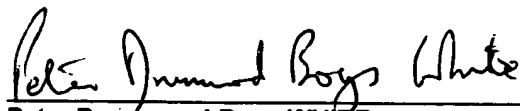
I / We **Peter Drummond Boys WHITE** of The Old Rectory, Aston Ingham, Ross-On-Wye,
Herefordshire, HR9 7LS, ENGLAND

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Ciba Specialty Chemicals Corporation, a Delaware corporation, of 540 White Plains Road, Tarrytown, NY 10591, USA**, its successors, assigns and legal representatives all my/our right, title and interest, in and for the United States of America, in and to the invention entitled:

REACTIVE RESIN MANUFACTURE

invented by me/us and described in the application for United States Letters Patent therefor, executed on even date herewith, and all United States Letters Patent which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by the said **Ciba Specialty Chemicals Corporation**, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this assignment and sale had not been made;

And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said **Ciba Specialty Chemicals Corporation**.



Peter Drummond Boys WHITE

Date

2/4/98

Date

Date

Date

ASSIGNMENT

WHEREAS, **Ciba Specialty Chemicals Corporation**, a corporation organized and existing under the laws of the State of Delaware, having offices at 560 White Plains Road, Tarrytown, New York (hereinafter "Assignor") is the owner of all its right, title and interest in and to the United States patent applications listed on the attached and made a part hereof; and

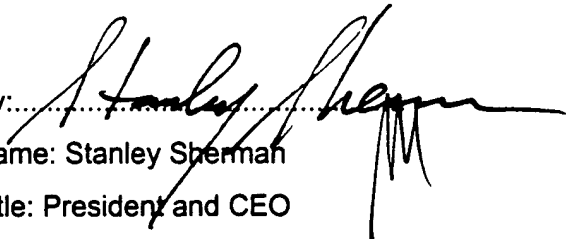
WHEREAS, **Vantico Inc.** a Delaware Corporation, having offices at 281 Fields Lane, Brewster, N.Y. 10509 (hereinafter "Assignee") desires to acquire said interest of Assignor in said inventions and patent applications and Ciba Specialty Chemicals Corporation is willing to assign its interest therein to **Vantico Inc.**:

NOW THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, Assignor, acting through its legal representatives, does hereby assign, sell and transfer to Assignee, its successors, assigns and legal representatives, all right, title and interest in said inventions and patent applications and any divisions, reissues, continuations, continuations-in-part, and extensions thereof, for the United States and its territorial possessions, the same to be held and enjoyed by the Assignee for its use and enjoyment, and for the use and enjoyment of its successors, assigns or other legal representatives, as fully and entirely as the same would have been held and enjoyed by the Assignor, if this assignment and sale had not been made.

IN WITNESS WHEREOF, Assignor has caused this Assignment to be duly executed this

28th day of July, 2000.

Ciba Specialty Chemicals Corporation

By: 
Name: Stanley Sherman
Title: President and CEO

000005-11301
10277578000

10-13-2000



101486372

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Ciba Specialty Chemicals Corporation
P.O. Box 2005
540 White Plains Road
Tarrytown, N.Y. 10591

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: **Vantico Inc.**

Internal Address:

Street Address: **281 Fields Lane**City: **Brewster State: NY ZIP: 10509**

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: **JUL 28 2000****USD 8.3.00**Additional name(s) & address(es) attached? ☐ Yes ☒ No4. Application number(s) or Patent number(s): **See attached schedule of Patent Applications**

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) **See attached**
schedule of Patent Applications

Patent No.(s)

Additional numbers attached? ☒ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **JoAnn Villamizar**
Ciba Specialty Chemicals Corp.
Internal Address: **Patent Department**
P.O. Box 2005
Street Address: **540 White Plains Road**
City: **Tarrytown, State: NY ZIP: 10591**

10/13/2000 00000035 031935 00115330

01 FC:581 2600.00 CH

6. Total number of applications and patents involved: **65**7. Total fee (37 CFR 3.41).....**\$2,600.00**

- ☐ Enclosed
☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

03-1935

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David R. Crichton**David R. Crichton**

Name of Person Signing
Reg. No. 37,300

Signature

JUL 31 2000**5**

Date

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments, Washington, D.C. 20231

27 6/97



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D C 20231

NOVEMBER 16, 2000

PTAS

CIBA SPECIALTY CHEMICALS CORP.
JOANN VILLAMIZER
P.O. BOX 2005
540 WHITE PLAINS ROAD
TARRYTOWN, NY 10591

RECEIVED



101486372A

NOV 2 2000

ANDREA DECECCHIS
UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/03/2000

REEL/FRAME: 011105/0548
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
CIBA SPECIALTY CHEMICALS
CORPORATION

DOC DATE: 07/28/2000

ASSIGNEE:
VANTICO INC.
281 FIELDS LANE
BREWSTER, NEW YORK 10509

SERIAL NUMBER: 08115530
PATENT NUMBER:

FILING DATE: 09/02/1993
ISSUE DATE:

SERIAL NUMBER: 08268094
PATENT NUMBER:

FILING DATE: 06/28/1994
ISSUE DATE:

SERIAL NUMBER: 08358134
PATENT NUMBER:

FILING DATE: 12/16/1994
ISSUE DATE:

SERIAL NUMBER: 08391329
PATENT NUMBER: 6111015

FILING DATE: 02/21/1995
ISSUE DATE: 08/29/2000

Copy sent to [illegible] 12/16/2000

SERIAL NUMBER: 08449183
PATENT NUMBER:

FILING DATE: 05/24/1995
ISSUE DATE:

SERIAL NUMBER: 08573767
PATENT NUMBER:

FILING DATE: 12/18/1995
ISSUE DATE:

SERIAL NUMBER: 08589435
PATENT NUMBER:

FILING DATE: 01/22/1996 ✓
ISSUE DATE:

SERIAL NUMBER: 08652394
PATENT NUMBER:

FILING DATE: 05/23/1996 ✓
ISSUE DATE:

SERIAL NUMBER: 08690373
PATENT NUMBER: 6136922

FILING DATE: 07/26/1996
ISSUE DATE: 10/24/2000

SERIAL NUMBER: 08820726
PATENT NUMBER:

FILING DATE: 03/18/1997 ✓
ISSUE DATE:

SERIAL NUMBER: 08832937
PATENT NUMBER:

FILING DATE: 04/03/1997 ✓
ISSUE DATE:

SERIAL NUMBER: 08860762
PATENT NUMBER:

FILING DATE: 07/11/1997 ✓
ISSUE DATE:

SERIAL NUMBER: 08876550
PATENT NUMBER:

FILING DATE: 06/16/1997 ✓
ISSUE DATE:

SERIAL NUMBER: 08893399
PATENT NUMBER:

FILING DATE: 07/11/1997
ISSUE DATE:

SERIAL NUMBER: 08947997
PATENT NUMBER: 6077886

FILING DATE: 10/09/1997
ISSUE DATE: 06/20/2000

SERIAL NUMBER: 08999803
PATENT NUMBER:

FILING DATE: 05/20/1997 ✓
ISSUE DATE:

SERIAL NUMBER: 09002847
PATENT NUMBER:

FILING DATE: 01/05/1998 ✓
ISSUE DATE:

SERIAL NUMBER: 09016619
PATENT NUMBER:

FILING DATE: 01/30/1998 ✓
ISSUE DATE:

SERIAL NUMBER: 09019636
PATENT NUMBER: 6117952

FILING DATE: 02/06/1998
ISSUE DATE: 09/12/2000

SERIAL NUMBER: 09035310
PATENT NUMBER: 6112885

FILING DATE: 03/05/1998
ISSUE DATE: 09/05/2000

SERIAL NUMBER: 09035312
PATENT NUMBER:

FILING DATE: 03/05/1998
ISSUE DATE:

SERIAL NUMBER: 09039765
PATENT NUMBER:

FILING DATE: 03/16/1998 ✓
ISSUE DATE:

SERIAL NUMBER: 09050279
PATENT NUMBER: 6136497

FILING DATE: 03/30/1998
ISSUE DATE: 10/24/2000

SERIAL NUMBER: 09055832
PATENT NUMBER: 6100007

FILING DATE: 04/06/1998
ISSUE DATE: 08/08/2000

SERIAL NUMBER: 09060688
PATENT NUMBER: 6115939

FILING DATE: 04/15/1998
ISSUE DATE: 09/12/2000

SERIAL NUMBER: 09070662
PATENT NUMBER: 6103825

FILING DATE: 04/30/1998
ISSUE DATE: 08/15/2000

SERIAL NUMBER: 09075639
PATENT NUMBER: 6090891

FILING DATE: 05/11/1998
ISSUE DATE: 07/18/2000

SERIAL NUMBER: 09077048
PATENT NUMBER: 6121348

FILING DATE: 05/18/1998
ISSUE DATE: 09/19/2000

SERIAL NUMBER: 09077049
PATENT NUMBER:

FILING DATE: 05/18/1998
ISSUE DATE:

SERIAL NUMBER: 09077484
PATENT NUMBER: 6132641

FILING DATE: 05/29/1998
ISSUE DATE: 10/17/2000

SERIAL NUMBER: 09100003
PATENT NUMBER: 6093493

FILING DATE: 06/17/1998
ISSUE DATE: 07/25/2000

SERIAL NUMBER: 09100005
PATENT NUMBER: 6103157

FILING DATE: 06/17/1998
ISSUE DATE: 08/15/2000

SERIAL NUMBER: 09101095
PATENT NUMBER:

FILING DATE: 12/23/1998
ISSUE DATE:

SERIAL NUMBER: 09101789
PATENT NUMBER:

FILING DATE: 07/16/1998
ISSUE DATE:

SERIAL NUMBER: 09115085
PATENT NUMBER:

FILING DATE: 07/14/1998
ISSUE DATE:

SERIAL NUMBER: 09115086
PATENT NUMBER: 6099787

FILING DATE: 07/14/1998
ISSUE DATE: 08/08/2000

SERIAL NUMBER: 09142269
PATENT NUMBER:

FILING DATE: 09/03/1998
ISSUE DATE:

SERIAL NUMBER: 09177141
PATENT NUMBER: 6107451

FILING DATE: 10/21/1998
ISSUE DATE: 08/22/2000

SERIAL NUMBER: 09181163
PATENT NUMBER: 6086795

FILING DATE: 10/28/1998
ISSUE DATE: 07/11/2000

SERIAL NUMBER: 09190973
PATENT NUMBER:

FILING DATE: 11/12/1998
ISSUE DATE:

SERIAL NUMBER: 09214053	FILING DATE: 12/28/1998✓
PATENT NUMBER:	ISSUE DATE:
SERIAL NUMBER: 09230172	FILING DATE: 05/17/1999✓
PATENT NUMBER:	ISSUE DATE:
SERIAL NUMBER: 09230395	FILING DATE: 01/27/1999
PATENT NUMBER:	ISSUE DATE:
SERIAL NUMBER: 09230410	FILING DATE: 01/25/1999
PATENT NUMBER:	ISSUE DATE:
SERIAL NUMBER: 09248649	FILING DATE: 02/11/1999✓
PATENT NUMBER:	ISSUE DATE:
SERIAL NUMBER: 09253162	FILING DATE: 02/19/1999✓
PATENT NUMBER:	ISSUE DATE:
SERIAL NUMBER: 09267585	FILING DATE: 03/12/1999✓
PATENT NUMBER:	ISSUE DATE:
SERIAL NUMBER: 09298572	FILING DATE: 04/23/1999✓
PATENT NUMBER:	ISSUE DATE:
SERIAL NUMBER: 09298573	FILING DATE: 04/23/1999
PATENT NUMBER:	ISSUE DATE:
SERIAL NUMBER: 09303584	FILING DATE: 05/03/1999
PATENT NUMBER:	ISSUE DATE:
SERIAL NUMBER: 09327859	FILING DATE: 06/08/1999✓
PATENT NUMBER:	ISSUE DATE:
SERIAL NUMBER: 09334085	FILING DATE: 06/16/1999✓
PATENT NUMBER:	ISSUE DATE:
SERIAL NUMBER: 09334086	FILING DATE: 06/16/1999✓
PATENT NUMBER:	ISSUE DATE:
SERIAL NUMBER: 09376797	FILING DATE: 08/18/1999
PATENT NUMBER:	ISSUE DATE:
SERIAL NUMBER: 09380449	FILING DATE: 01/27/2000✓
PATENT NUMBER:	ISSUE DATE:
SERIAL NUMBER: 09390122	FILING DATE: 09/03/1999
PATENT NUMBER:	ISSUE DATE:
SERIAL NUMBER: 09418609	FILING DATE: 10/15/1999✓
PATENT NUMBER:	ISSUE DATE:
SERIAL NUMBER: 09421166	FILING DATE: 10/19/1999✓
PATENT NUMBER:	ISSUE DATE:

011105/0548 PAGE 5

SERIAL NUMBER: 09456961
PATENT NUMBER:

FILING DATE: 12/07/1999
ISSUE DATE:

SERIAL NUMBER: 09465866
PATENT NUMBER:

FILING DATE: 12/16/1999
ISSUE DATE:

SERIAL NUMBER: 09483057
PATENT NUMBER:

FILING DATE: 01/13/2000
ISSUE DATE:

SERIAL NUMBER: 09550718
PATENT NUMBER:

FILING DATE: 04/17/2000
ISSUE DATE:

SERIAL NUMBER: 09554811
PATENT NUMBER:

FILING DATE: 05/19/2000
ISSUE DATE:

SERIAL NUMBER: 09567348
PATENT NUMBER:

FILING DATE: 05/09/2000
ISSUE DATE:

SERIAL NUMBER: 09569560
PATENT NUMBER:

FILING DATE: 05/12/2000
ISSUE DATE:

PEARLENE FOSTER, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

Schedule of Patent Applications

		APPLICATION	DATE
	CASE NUMBER	NO.	FILED
EM	K7-19264/A	08/115,530	9/2/93
EM	KK-19600/A	08/268,094	6/28/94
FS	KK-19805/A	08/358,134	12/16/94
FS	KK-19149/A/CONT/HEX	08/391,329	2/21/95
EM	KK-18966/A/DIV/CONT	08/449,183	5/24/95
EM	KK-20281/A	08/573,767	12/18/95
EM	KK-20310/A	08/589,435	1/22/96
EM	KK-19601/A/DIV	08/652,394	5/23/96
CS	KK-20045/A/CONT/CPA 2	08/690,373	7/26/96
EM	KK-20310/A/CONT/CPA	08/820,726	3/18/97
FS	KK-20811/A/CPA	08/832,937	4/3/97
AT	KK-20307/A/MA 2104/PCT/CPA	08/860,762	1/24/95
FS	KK-20904/A/CPA	08/876,550	6/16/97
FS	K7-19597/A/PCT/CONT/CPA 2	08/893,399	7/11/97
AT	KK-21086/A/MA 2140/#2	08/947,997	10/9/97
FS	KK-19882/A/CONT/CPA	08/999,803	5/20/97
EM	KK-19614/A/CGM 429/CONT/DIV	09/002,847	1/5/98
CS	KK-20617/A/CONT/CPA 2	09/016,619	1/30/98
CS	KK-21226/A/CPA	09/019,636	2/6/98
EM	K7-21249/A	09/035,310	3/5/98
CS	KK-21254/A/CPA	09/035,312	3/5/98
AT	KK-21514/P1/CGC 1983	09/039,765	3/16/98
AT	KK-21517/P1/CGC 1984	09/050,279	3/30/98
AT	KK-21524/P1/CGC 1985	09/055,832	4/6/98
EM	K7-21313/A	09/060,688	4/15/98
CS	KK-21323/A	09/070,662	4/30/98
CS	KK-21346/A	09/075,639	5/11/98
AT	KK-21126/A/MA 2141/PCT	09/077,048	11/18/96
AT	KK-21127/A/MA 2142/PCT/CPA	09/077,049	11/18/96
EM	KK-20664/A/CGJ 91/PCT	09/077,484	11/19/96
CS	KK-21378/A	09/100,003	6/17/98
EM	KK-21375/A	09/100,005	6/17/98
EM	K7-21410/A/PCT	09/101,095	1/9/97
CS	KK-20735/A/PCT/CPA	09/101,789	1/23/97
AT	KK-21389/P1/CGC 1943	09/115,085	7/14/98
AT	KK-21390/P1/CGC 1944	09/115,086	7/14/98
CS	KK-20774/A/PCT	09/142,269	2/28/97
CS	KK-21428/A	09/177,141	10/21/98
AT	KK-21430/A/MA 2161	09/181,163	10/28/98
HA	KK-21462/P1/CGC 1952	09/190,973	11/12/98
EM	KK-20936/A/CGJ 98/PCT	09/214,053	6/17/97
AT	KK-20969/A/21022/MA 2136/PCT	09/230,172	7/14/97
CS	KK-20922/A/PCT	09/230,395	6/21/97
SC	KK-20976/A/PCT	09/230,410	7/16/97
CS	KK-21501/A	09/248,649	2/11/99

Schedule of Patent Applications

		APPLICATION	DATE
	CASE NUMBER	NO.	FILED
HE	KK-21506/A	09/253,162	2/19/99
SC	KK-21993/P1/CGC 2023	09/267,585	3/12/99
CS	KK-20616/A/CIP	09/298,572	4/23/99
CS	KK-21387/CIP	09/298,573	4/26/99
EM	K7-21561/A	09/303,584	5/3/99
HA	KK-21566/P1/CGC 1992	09/327,859	6/8/99
CS	KK-21585/P1/CGC 1993	09/334,085	6/16/99
AT	KK-21820/P1/CGC 1996	09/334,086	6/16/99
FS	KK-20930/A/DIV	09/376,797	8/18/99
EM	KK-22016/AO/NZ 12/PCT	09/380,449	3/7/97
FS	KK-20658/A/DIV	09/390,122	9/3/99
AT	KK-21854/A/MA 2170	09/418,609	10/15/99
CS	KK-21852/A	09/421,166	10/19/99
CS	KK-20045/A/CONT/CPA 2/DIV	09/456,961	12/7/99
EM	KK-21375/A/DIV	09/465,866	12/16/99
AT	KK-18945/A/CONT/DIV	09/483,057	1/13/00
AT	KK-21490/A/MA 2163/CIP	09/550,718	4/17/00
CS	KK-21805/A/WIT 30	09/554,811	5/19/00
EM	KK-20664/A/CGJ 91/PCT/CONT	09/567,348	5/9/00
CS	KK-21254/A/CONT/CIP	09/569,560	5/12/00

Schedule of Patent Applications

Attorney Docket Number	Application Serial No.	Filing Date	Group Art Unit	Examiner	First named Inventor
261/028	09/190,973	November 12, 1998	1722	J. Del Sole	Robert John Kultzow
261/032	09/567,348	May 9, 2000	1714	T. Yoon	Ralf-Roman Rietz
261/033	09/334,086	June 16, 1999	1714	C. Shosho	Richard noel Leyden
261/037	09/483,057	January 13, 2000	NAY	Not assigned yet	Bettina Steinman
261/076	08/893,399	July 11, 1997	1771	E. Cole	H-R Aus Der Au
261/077	08/268,094	June 28, 1994	1501	R. Gulakowski	Martin Roth
261/078	08/652,394	May 23, 1996	1501	R. Gulakowski	Martin Roth
261/079	08/358,134	December 16, 1994	1712	R. Sellers	Frans Setiabudi
261/080	09/002,847	January 5, 1998	1501	D. R. Wilson	Jens Awer
261/081	09/456,961	December 7, 1999			Isabelle Frischinger
261/082	08/589,435	January 22, 1996	1501	R. Gulakowski	Christian Beisele
261/083	08/820,726	March 18, 1997	1712	R. Gulakowski	Christian Beisele
261/084	08/860,762	July 11, 1997	1733	J. Gallagher	Jochim Sauer
261/085	08/573,767	December 18, 1995	1501	R. Gulakowski	Christian Beisele
261/086	09/298,572	April 23, 1999	1712	R. Sellers	P. Guillaume Gottis
261/088	08/832,937	April 3, 1997	1732	K. Jones	Dean T. Behm
261/089	08/876,550	June 16, 1997	1712	R. Sellers	Dean Tallak Behm
261/090	09/142,269	September 3, 1998	1712	D. Aylward	Thomas Vogel
261/091	09/230,395	January 27, 1999	1714	E. Cain	Hugh Stephen Laver
261/092	09/230,172	January 21, 1999	1733	M. Tolin	Jochem Sauer
261/093	09/214,063	December 18, 1998	1752	C. Hamilton	Yasuharu Nojima
261/095	09/569,560	May 12, 2000	1712	Not assigned yet	Jurgen Finter
261/096	09/465,866	December 16, 1999	1762	Not assigned yet	Dean Tallak Behm
261/098	09/077,049	May 18, 1998	1712	R. Sellers	Peter Durmmond
261/100	09/550,718	April 17, 2000	1762	Not assigned yet	Albert John French
261/101	09/253,162	February 2, 1999	1712	D. Aylward	Martin Roth
261/102	09/248,649	February 11, 1999	1714	P. Michl	Carstein Zilg
216/105	09/327,859	June 8, 1999	2831	A. Estrada	Robert Kultzow
216/106	09/334,085	June 16, 1999	1712	D. Aylward	Chi-Wen Frank
261/108	09/554,811	May 19, 2000	1713	Not assigned yet	Wolfgang Scherzer
261/109	09/421,166	October 19, 1999	1712	R. Lovering	Rolf Wiesendanger
261/114	09/267,585	March 12, 1999	1623	D. Khare	Bor-Sheng Lin
261/116	09/380,449	January 27, 2000	1755	Not assigned yet	Shizuo Kitahara

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: See Attached Schedule

Application No./Patent No.: See Attached Schedule Filed/Issue Date: See Attached Schedule

Entitled: _____

VANTICO INC., a CORPORATION

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel 011105, Frame 0548, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

February 22, 2001
Date

[Signature]
Signature

ALAN G. HIMMELSTEIN

Typed or printed name

VICE PRESIDENT, GENERAL COUNSEL
& SECRETARY

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.